## Critical Phenomena and Driven Neutron Star Collapse

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with

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- Introduction to Critical Phenomena
- Example: Primordial Black Holes and Crit. Phen.
- Theoretical Model of Non-equilibrium Neutron Stars
- Parameter Space Survey and Dynamical Scenarios
- Type I & II Critical Behavior
- Conclusions

#### **A Decade of Critical Phenomena**

- M. W. Choptuik "Universality and Scaling in Gravitational Collapse of a Massless Scalar Field", PRL 70, 1, January 4, 1993.
- Crit. Phen. observed anywhere you have (BH)/(No BH);
- General feature of gravitational collapse, observed in many different matter models (even w/o matter: Gravitational Waves!)
- "Tuning" of initial data to Critical Solution
   → eliminate the 1 unstable mode from solution;
- Some Crit. Solutions are "Naked Singularities"!
- Reviews: C. Gundlach, Physics Reports, 376, 339 (2003),
   C. Gundlach, *Living Reviews*, Irr-1999-4

## **Systems Exhibiting Critical Phenomena**

Matter	Туре	Collapse	Critical	Perturbations
		simulations	solution	of crit. soln.
Perfect fluid $p = k\rho$	П	[69, 142]	CSS [69, 138, 142]	[138, 128, 93, 97]
Real scalar field:				
– massless, min. coupled	Π	[47, 48, 49]	DSS [89]	[90, 139]
– massive	I	[32]	oscillating [165]	
	Π	[49]	DSS [104, 99]	[104, 99]
- conformally coupled	Π	[48]	DSS	
- 4+1	Π	[16]		
- 5+1	Π	[77]		
Massive complex scalar field	I (II)	[110]	[165]	[110]
Massless scalar electrodynamics	Π	[117]	DSS [99]	[99]
2-d sigma model				
- complex scalar ( $\kappa = 0$ )	Π	[50]	DSS [90]	[90]
- axion-dilaton ( $\kappa = 1$ )	Π	[101]	CSS [67, 101]	[101]
- scalar-Brans-Dicke ( $\kappa > 0$ )	Π	[136, 133]	CSS, DSS	
– general $\kappa$ including $\kappa < 0$	Π		CSS, DSS [115]	[115]
SU(2) Yang-Mills	I	[53]	$\mathbf{static} \ [12]$	[131]
	Π	[53]	DSS [92]	[92]
	"ПГ"	[55]	colored BH $[17, 173]$	[168, 172]
SU(2) Skyrme model	I	[19]	static [19]	[19]
	Π	[22]	static [22]	
SO(3) Mexican hat	Π	[134]	DSS	
Vlasov	I?	[160, 148]	[141]	

Туре І	Туре II
Discontinuous "Phase" Transition	Continuous "Phase" Transition
$M_{BH} \to M^* > 0$	$M_{BH}  ightarrow 0$
Static or Oscillatory	Cont. or Discretely Self-similar
$t_{ m hang} \propto  p-p^* ^{-\gamma}$	$M_{\rm BH} \propto  p - p^* ^{\gamma}, T_{\rm max} \propto  p - p^* ^{-2\gamma}$

#### **Black Hole Mass Scaling**



#### **Cont. and Discrete Self-Similarity of Type-II**



 $\mathcal{X} = \ln\left(R/T\right)$ 

CSS:

• 
$$Z^{\star}\left(\mathcal{X}, \tau\right) = Z^{\star}\left(\mathcal{X}\right)$$

DSS:

• 
$$Z^{\star}(\mathcal{X},\tau) = Z^{\star}(\mathcal{X},\tau+\Delta)$$

$$l \propto |p - p^{\star}|^{\gamma}$$
 ,  $\gamma = 1/\omega$ 

 $\Rightarrow M_{\rm BH} \propto R_{\rm BH} \propto l$ 

 $\Rightarrow \mathcal{R} \propto l^{-2}$ 

#### **Ex.: Primordial Black Holes (PBH)**



Niemeyer & Jedamzik, PRD, 59, (1999)

 ${\scriptstyle \bullet}$  Inhomogeneities in the early universe  ${\scriptstyle \bullet}(M,R) \sim (M,R)_{\rm horizon} \ , \ P = \rho/3$ 

 $\bullet \delta = \Delta M / M_{\rm h}$ 

- $\bullet \delta < \delta_c$  Dispersal
- $\bullet \delta > \delta_c$  Collapse to PBH

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- $\delta < \delta_c$  Dispersal
- $\bullet \delta > \delta_c$  Collapse to PBH
- $M_{\rm PBH} \propto (\delta \delta_c)^{\gamma}$
- $P(\delta) \propto \exp\left(-\delta^2/\sigma^2\right)$ 
  - $\Rightarrow \delta_{\rm PBH} \simeq \delta_c$
  - $\Rightarrow M_{\rm PBH} \rightarrow 0$  at any epoch

#### **Diffuse Hawking Radiation**



- $T \simeq 6 \times 10^{-8} \left(\frac{M_{\odot}}{M}\right) K$  ,  $\frac{dM}{dt} = -\frac{\alpha(M)}{M^2}$
- $M_{PBH}^{\rm evap} < 5 \times 10^{14} {\rm g}$  if formed at  $t \approx 0$
- Page, PRD 13, 198 (1976)
  - $M_{\rm PBH} \simeq 5 \times 10^{14} {\rm g}$ ,  $T \simeq 20 {\rm MeV}$
  - $L \simeq 2.5 \times 10^{17} \mathrm{erg/s}$
  - $\nu$ 's (45%),  $e^{\pm}$ 's (45%),  $\gamma$ 's (9%), gravitons (1%)
  - $\frac{dn}{d(M_i/M_{\star})} = 10^4 \text{pc}^{-3} (M_i/M_{\star})^{-\beta}$
- Page & Hawking, ApJ, 206, 1 (1976) :
  - $\Omega_{\rm PBH} < 10^{-7}$
- γ-ray excess in Galactic Halo
   (E. L. Wright, ApJ, 459, 487 (1996))

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EGRET, Compton  $\gamma$ -ray Obs., http://tigre.ucr.edu/halo/halo.html

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× 10<sup>-6</sup> 10

8

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## **Sudden Hawking Evaporation**



At  $M \sim 10^{10} - 10^{13}$ g, Hawking Radiation  $\rightarrow$  Fireball: (D. B. Cline, Physics Reports, **307**, 173 (1998); D. B. Cline, et al., Astropart. Phys., **18**, 531 (2003))

- $\blacksquare~E\sim5\times10^{34}{\rm erg}$  ,  $T\sim T_{\rm QGP}\sim160{\rm MeV}$  ,  $t_{\rm rise}\sim1{\rm ms}$  ,  $t\sim100{\rm ms}$  ,  $R\sim10^9{\rm cm}$
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D.B. Cline et al. | Astroparticle Physics 18 (2003) 531–538



#### BATSE GRB EVENTS (SINCE APRIL 21, 1991 TILL MAY 26, 2000)

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#### **Fluid Equations of Motion**

Local Conservation of Baryons Equation :  $\nabla_{\mu}J^{\mu} = 0$ 

Local Conservation of Energy Equation :  $\nabla_{\mu}T^{\mu}{}_{\nu} = 0$ 

$$\frac{\partial}{\partial t} \begin{bmatrix} D \\ S \\ \tau \end{bmatrix} + \frac{1}{r^2} \frac{\partial}{\partial r} \begin{pmatrix} r^2 \frac{\alpha}{a} \begin{bmatrix} Dv \\ Sv + P \\ v(\tau + P) \end{bmatrix} \end{pmatrix} = \begin{bmatrix} 0 \\ \Sigma \\ 0 \end{bmatrix}$$
$$\mathbf{q} \qquad \mathbf{f} \qquad \mathbf{\psi}$$

 $v = \frac{au^r}{\alpha u^t}$ ,  $W^2 = \frac{1}{1 - v^2}$ ,  $D = a\rho_0 W$ ,  $S = (\rho + P)W^2 v$ ,  $\tau = S/v - D - P$ 

•  $\Sigma = \Sigma(\alpha, a, \mathbf{q}) \neq \Sigma(\alpha, a, \mathbf{q}, \partial_r \mathbf{q}, \partial_t \mathbf{q}) \Rightarrow \mathsf{EOM} \text{ are hyperbolic!}$ 

■ Relativistic Ideal gas Equation of State :  $P = (\Gamma - 1) \rho_{\circ} \epsilon$  ,  $\Gamma = constant$ 

#### **Metric Equations**

**Slicing Condition :** 

$$\frac{\alpha'}{\alpha} = a^2 \left[ 4\pi r \left( Sv + P \right) + \frac{1}{2r} \left( 1 - 1/a^2 \right) \right]$$

Hamiltonian Constraint :

$$\frac{a'}{a} = a^2 \left[ 4\pi r \left( \tau + D \right) - \frac{1}{2r} \left( 1 - 1/a^2 \right) \right]$$

**Mass Aspect Function :** 

$$m(r,t) = \frac{r}{2} \left(1 - 1/a^2\right)$$

Mass of Spherical Shell :

$$\frac{dm}{dr} = 4\pi r^2 \left(\tau + D\right)$$



 Tolman-Oppenheimer-Volkoff (TOV) solutions:
 Static, spherical solutions to Einstein's Eq. w/ perfect fluid;



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- Stable & Unstable Solutions



- Tolman-Oppenheimer-Volkoff (TOV) solutions:
   Static, spherical solutions to Einstein's Eq. w/ perfect fluid;
- **Parameterized by**  $\rho_c = \rho_o(0,0)$
- Stable & Unstable Solutions
- Isentropic State Equations:  $P = K ρ_{\circ}^{\Gamma} , P = (\Gamma 1) ρ_{\circ} ε$   $\Gamma = 2$









- Solve TOV Eq.'s  $(\dot{g}_{\mu\nu} = \dot{T}_{\mu\nu} = v = 0)$
- Add in-going coordinate velocity:  $U(\tilde{r} = r/R_*) = \frac{u^r}{u^t} = p \tilde{r} \left(\tilde{r}^2 - b\right)$

Match to U = 0





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Match to U = 0

• Solve ( $\alpha' = ...$ ) and (a' = ...) and find  $v = aU/\alpha$ 

Tune to vary amount of kinetic energy

#### **Initial Data : TOV Solution**



#### **Initial Data : TOV + In-going Velocity**



#### **Minimally-Coupled Massless Scalar Field**

Coupled only through the geometry ("poor man's gravitational wave"):

$$T_{ab} = T_{ab}^{\text{scalar}} + T_{ab}^{\text{fluid}} \quad , \quad G_{ab} = 8\pi T_{ab}$$

$$\frac{dm}{dr} = \frac{dm_{\text{scalar}}}{dr} + \frac{dm_{\text{fluid}}}{dr}$$

Einstein-massless-Klein-Gordon (EMKG) scalar field:

$$T_{ab}^{\text{scalar}} = \nabla_a \phi \nabla_b \phi - \frac{1}{2} g_{ab} \left( \nabla_c \phi \nabla^c \phi \right)$$

$$abla^a 
abla_a \phi = 0$$

#### **Parameter Space Survey**



- Previous work:
  - S. Shapiro and Teukolsky (1980)
  - Gourgoulhon (1992)
  - Novak (2001)
- Parameterized by  $v_{\min}$  and  $\rho_c$
- Dynamical scenarios:
  - Normal Oscillations (O)
  - Shock/Bounce/Oscillations (SBO)
  - Shock/Bounce/Dispersal (SBD)
  - Shock/Bounce/Collapse (SBC)
  - Prompt Collapse (PC)

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- **9** All stars, small  $v_{\min}$
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- Movies:  $\ln(\rho_{\circ}(r,t)), \quad \rho_{\circ}(r,t), \quad v(r,t)$

#### **Shock/Bounce/Oscillations (SBO)**



- Moderately compact stars, intermediate v<sub>min</sub>
- Bounce, Core's Rebound  $\rightarrow$  Mass Ejection
- Highly-damped oscillations about sparser star

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- Movies:

 $\ln \left( 
ho_{\circ} 
ight) \ \& \ \ln \left( \epsilon 
ight) \ ext{vs.} \ \left\{ \ln (r/R_{*}), t 
ight\}, \ v \ ext{vs.} \ \left\{ \ln \left( r/R_{*} 
ight), t 
ight\}$ 

#### **Shock/Bounce/Dispersal (SBD)**



- **9** Sparse stars, small—to—large  $v_{\min}$
- $\textbf{9} \quad \textbf{Bounce, Core's Rebound} \rightarrow \textbf{Dispersal}$
- Negligible mass left behind

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#### **Shock/Bounce/Collapse (SBC)**



- Sparse—to—semi-dense stars, medium—to—large v<sub>min</sub>
- $\textbf{ Bounce} \rightarrow \textbf{Mass Ejection}$
- Black hole formation,  $M_{\rm BH} < M_*$

#### **Shock/Bounce/Collapse (SBC)**



- Sparse—to—semi-dense stars, medium—to—large v<sub>min</sub>
- **9** Black hole formation,  $M_{\rm BH} < M_*$
- Movies:

a(r,t) ,  $\alpha(r,t)$  ,  $\rho_{\circ}(r,t)$  , v(r,t)  $r\in [0,R_{*}]$ 

#### **Prompt Collapse (PC)**



- Nearly all stars, large  $v_{\min}$
- No mass ejection
- Black hole formation,  $M_{\rm BH} \simeq M_*$

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- No mass ejection
- Black hole formation,  $M_{\rm BH} \simeq M_*$
- Movies:

a(r,t) , lpha(r,t) ,  $ho_\circ(r,t)$  , v(r,t)

 $r \in [0, R_*]$ 

#### **Parameter Space Survey**



- $\min(\rho_c^{\rm BH}) \sim 0.007$ ;
- $\min(M_{\rm BH}) \lesssim 0.017;$
- Arbitrarily small BH's for  $ho_c \lesssim 0.05343$  ,  $M_\star \lesssim 0.09$  ;
- Dynamical scenarios:
  - Normal Oscillations (O)
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#### **Type I Critical Phenomena**



- Hawley & Choptuik (2000): Boson Stars
- Vary p:  $\phi(r,0) = p \exp\left(-\left[r - r_{\circ}\right]^2 / \Delta^2\right)$
- Large  $p \rightarrow \mathsf{BLACK} \mathsf{HOLE}$
- Small  $p \rightarrow NO$  BLACK HOLE (e.g. perturbed star)
- Tuning away the only unstable mode

$$\Rightarrow T_{\circ} \propto \frac{1}{\omega_{Ly}} \ln |p - p^*|$$

#### **Type I Critical Phenomena**

#### $\rho_c = 0.15$

1/143 (3468)		(2.0e+00 , 8.9e-0	1)
0.00e+00	dm/dr		
(-50e-03 , -4.5e-05)			A

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Movies:

dm/dr ,  $\ln(dm/dr)$  (wide view) ,  $\ln(dm/dr)$  (closeup)

#### **Type I: Anomalous Case** $\rho_c = 0.197$



Movie:

dm/dr

#### **Type I: Anomalous Case** $\rho_c = 0.27$



Movies:

dm/dr ,  $\ln(dm/dr)$ 

# **Critical Solution** $\stackrel{?}{=}$ **Unstable TOV** ( $\rho_c = 0.197$ )



#### **Scaling Behavior**



Expected scaling relationship:

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$${}$$
  $\omega_{Ly} \propto 
ho_c^*$ 

#### **Type II Critical Phenomena: Motivation**

- **J**. Novak (2001):
  - "Ideal-gas" EOS:  $P = (\Gamma 1) \rho_{\circ} \epsilon$  ,  $\Gamma = 2$
  - Tuning star's init. vel.  $\rightarrow$  Type II critical behavior;
  - $M_{BH} \propto |p-p^*|^{\gamma}$  with  $\gamma \simeq 0.52$
- Neilsen and Choptuik (2000), Brady et al. (2002)
  - Studied ultra-relativistic fluid collapse;
  - A limit of "ideal-gas" case where  $\rho \equiv (1 + \epsilon) \rho_{\circ} \simeq \rho_{\circ} \epsilon$
  - $P = (\Gamma 1) \rho$ , only EOS to admit CSS soln's;
  - For  $\Gamma$  = 2,  $\gamma \simeq 0.95 \pm 0.02$
- Neilsen and Choptuik (2000)
  - For  $\Gamma = 1.4$ : Ideal-gas Type II Sol'n. = Ultra-rel. Type II Sol'n.

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#### **Critical Regime of Parameter Space**



•  $T_{\max} \equiv \text{Global Max.}(T^a{}_a)$ 

- Anticipated subcritical scaling behavior:  $T_{\max} \propto |p - p^*|^{-2\gamma}$   $\gamma = 1/\omega_{Ly}$
- Novak tuned to  $\ln |p^* p| \simeq -7$

#### **CSS Solutions of Ideal-gas and Ultra-rel.**



- Comparison of dimensionless quantities:
  - $\omega \equiv 4\pi r^2 a^2 
    ho$

• 
$$a = \sqrt{g_{rr}}$$

•  $v = \frac{au^r}{\alpha u^t}$  = Eulerian Velocity ( $u^{\mu}$  = Fluid's 4-velocity)

• Star: 
$$\rho_c = 0.05$$

Ultra-relativistic fluid:
 Initial profile = Gaussian

#### Scaling of $T_{max}$ : Dependence on Fluid's Floor



$\gamma$	$p^*$
0.9427	0.46875367383
0.9436	0.46875350285
0.9470	0.4687516089

- Floor used to prevent  $v \ge 1$  ,  $P, \rho_{\circ} < 0$
- No significant effect;

#### Scaling of $T_{max}$ : Different "Families"



$\gamma$	$p^*$
0.9427	0.46875367383
0.9423	0.42990315097
0.9187	0.4482047429836

- Suggests scaling is fairly independent of:
  - Functional form of perturbation;
  - Initial star configuration;

#### **Scaling of** $T_{max}$ : **Different Flux Functions**



$\gamma$	$p^*$
0.9427	0.46875367383
0.9399	0.46876822118

- Suggests scaling is independent of flux formula;
- Able to tune further with "Smoothed" Roe solver;

#### **Comparison of Scaling Parameters**

Noble and Choptuik	Ideal gas	$\gamma = 0.94 \pm 0.01$
Noble and Choptuik	Ultra-relativistic fluid	$\gamma = 0.9747$
Neilsen and Choptuik (2000) and Brady et al. (2002)	Ultra-relativistic fluid	$\gamma = 0.95 \pm 0.02$
Novak (2001)	Ideal gas	$\gamma \simeq 0.52$

#### Conclusion

- Parameter Space Survey:
  - Illuminated possible dynamical scenarios
  - Provided a backdrop for critical phenomena studies
- **J** Type I Behavior:
  - $\scriptstyle \bullet \,$  Critical solutions  $\simeq$  perturbed unstable TOV solutions
  - Found anticipated scaling behavior  $T_{\circ} \propto \frac{1}{\omega_{Ly}} \ln |p p^*|$
  - $\omega_{Ly} \propto 
    ho_c^*$
- Type II Behavior:
  - $\scriptstyle \bullet \,$  Ideal gas critical solution  $\simeq$  ultra-relativistic critical solution
  - $\gamma_{\rm ideal} \simeq \gamma_{\rm ultra-rel}$

#### **Future Work**

- Type I Phenomena:
  - Compare results to  $\omega_{Ly}$  of unstable TOV growing modes
  - Axially-symmetric collapse, effect of rotation
  - How  $\omega_{Ly}(\rho_c^*)$  varies with  $\Gamma$
  - Dependence on EOS
- Type II Phenomena:
  - Realistic equation of state
  - Axially-symmetric critical behavior
  - Develop general adaptive mesh refinement methods for relativistic fluids